| umber                      |         | 10708840      |  |
|----------------------------|---------|---------------|--|
|                            |         | 2004-03-26    |  |
| First Named Inventor Blayn |         | Beenau        |  |
| Art Unit                   |         | 3621          |  |
| ne                         | Kambi   | iz Abdi       |  |
| et Numbe                   | ∍r      | 70655.0400    |  |
|                            | nventor | nventor Blayn |  |

|                      |            |   |                              |   | U.S.I                     | PATENTS             |  |          | Remove     |             |    |
|----------------------|------------|---|------------------------------|---|---------------------------|---------------------|--|----------|------------|-------------|----|
| Examiner<br>Initial* | Cite<br>No | Patent Number                           | Kind<br>Code <sup>1</sup>    | Issue D   | )ate                      |                     |  |          | ınt Passag | Lines where |    |
|                      | 1          |   |                              |   |                           |                     |  |          |            |             |    |
| If you wisl          | n to a     | ⊥<br>dd additional U.S. Patei           | nt citatio                   | n inform  | ation pl                  | ease click the      | Add button.  |          | Add        |             |    |
|                      |            |   | U.S.P                        | ATENT   | APPLI                     | CATION PUBI         | LICATIONS  |          | Remove     |             |    |
| Examiner<br>Initial* | Cite<br>No | Publication Number                      | Kind<br>Code <sup>1</sup>    | Publication Name of Patentee of Applicant Relevan |                           |                     |  |          |            | Lines where |    |
|                      | 1          |   |                              |   |                           |                     |  |          |            |             |    |
| If you wisl          | n to a     | dd additional U.S. Publi                | shed Ap                      | plication   | citatio                   | n information p     | olease click the Add                               | d button | Add        |             |    |
|                      |            |   |                              | FOREIG  | 3N PAT                    | ENT DOCUM           | ENTS   |          | Remove     |             |    |
| Examiner<br>Initial* | Cite<br>No | Foreign Document<br>Number <sup>3</sup> | Country<br>Code <sup>2</sup> |   | Kind<br>Code <sup>4</sup> | Publication<br>Date | Name of Patentee<br>Applicant of cited<br>Document | e or V   | where Rele | or Relevant | T5 |
|                      | 1          | EP 0657297                              | EP                           |   |                           | 1995-06-14          | AGFA-GEVAERT                                       |          |            |             |    |
|                      | 2          | 07-173358                               | JP                           |   |                           | 1995-07-11          | Nippon Shokubai C                                  | o Ltd    |            |             |    |
|                      | 3          | 07-205569                               | JP                           |   |                           | 1995-08-08          | Toppan Printing Co                                 | Ltd      |            |             |    |

| Application Number     |       | 10708840     |  |  |
|------------------------|-------|--------------|--|--|
| Filing Date            |       | 2004-03-26   |  |  |
| First Named Inventor   | Blayn | Blayn Beenau |  |  |
| Art Unit               |       | 3621         |  |  |
| Examiner Name          | Kamb  | iz Abdi      |  |  |
| Attorney Docket Number |       | 70655.0400   |  |  |

| 4  | WO 95/32919 | WO | 1995-12-07 | Gunnarson                   |  |
|----|-------------|----|------------|-----------------------------|--|
| 5  | WO 96/18972 | wo | 1996-06-20 | Moore Business Forms<br>Inc |  |
| 6  | EP 0721850  | EP | 1996-07-17 | Thomas de la Rue Ltd        |  |
| 7  | 08-244385   | JP | 1996-09-24 | Dainippon Printing Co Ltd   |  |
| 8  | 08-324163   | JP | 1996-12-10 | Dainippon Pritning Co Ltd   |  |
| 9  | 09-052240   | JP | 1997-02-25 | Sekisui Chem Co Ltd         |  |
| 10 | 29702538    | DE | 1997-04-03 | Pixyfoto GmbH               |  |
| 11 | EP 0780839  | EP | 1997-06-25 | Canon Kabushiki Kaisha      |  |
| 12 | EP 0789316  | EP | 1997-08-13 | Kabushiki Kaisha Toshiba    |  |
| 13 | 09-274640   | JP | 1997-10-21 | Toshiba Corp                |  |
| 14 | 10-129161   | JP | 1998-05-19 | Dainippon Printing Co Ltd   |  |

| Application Number     |       | 10708840     |  |  |
|------------------------|-------|--------------|--|--|
| Filing Date            |       | 2004-03-26   |  |  |
| First Named Inventor   | Blayn | Blayn Beenau |  |  |
| Art Unit               |       | 3621         |  |  |
| Examiner Name          | Kamb  | iz Abdi      |  |  |
| Attorney Docket Number |       | 70655 0400   |  |  |

| 15 | EP 0866420  | EP | 1998-09 | -23 | Time & Data Systems Int'l<br>Ltd          |  |
|----|-------------|----|---------|-----|---|--|
| 16 | EP 0894620  | EP | 1999-02 | -03 | Kanebo Ltd                                |  |
| 17 | WO 99/12136 | wo | 1999-03 | -11 | Kininklijki Phillips<br>Electronics NV    |  |
| 18 | WO 99/14055 | wo | 1999-03 | -25 | Governor & Company of the Bank of England |  |
| 19 | EP 0916519  | EP | 1999-05 | -19 | Meiller Comcard GmbH                      |  |
| 20 | WO 99/27492 | wo | 1999-06 | -03 | Mackenthun                                |  |
| 21 | 689680      | СН | 1999-08 | -13 | Electrowatt Tech Innovat<br>Corp          |  |
| 22 | 11-227367   | JP | 1999-08 | -24 | Dainippon Printing Co Ltd                 |  |
| 23 | WO 99/47983 | wo | 1999-09 | -23 | ADIMEX S.R.O.                             |  |
| 24 | 2000-177229 | JP | 2000-06 | -27 | Alps Electric Co Ltd                      |  |
| 25 | GB 2347537  | GB | 2000-09 | -06 | Rohm Co Ltd                               |  |

| Application Number         |      | 10708840   |  |  |
|----------------------------|------|------------|--|--|
| Filing Date                |      | 2004-03-26 |  |  |
| First Named Inventor Blayn |      | Beenau     |  |  |
| Art Unit                   |      | 3621       |  |  |
| Examiner Name              | Kamb | iz Abdi    |  |  |
| Attorney Docket Number     |      | 70655.0400 |  |  |

|                       | 26         | 2001-504406              | JP   |          | 2001-04-03       | Unknown  |        |            |  |  |
|-----------------------|------------|--------------------------|--|----------|------------------|--|--------|------------|--|--|
|                       | 27         | WO 01/55955              | WO   |          | 2001-08-02       | Int'l Apparel Group LLC                                |        |            |  |  |
|                       | 28         | 2001-315475              | JP   |          | 2001-11-13       | Toppan Printing Co Ltd                                 |        |            |  |  |
|                       | 29         | 2002-274087              | JP   |          | 2002-09-25       | Dainippon Printing Co Ltd                              |        |            |  |  |
|                       | 30         | WO 96/06409              | WO   |          | 1996-02-29       | Geefiled Pty Ltd                                       |        |            |  |  |
|                       | 31         | 2004-164347              | JP   |          | 2004-06-10       | Dainippon Printing Co Ltd                              |        |            |  |  |
|                       | 32         | 2004-348478              | JP   |          | 2004-12-09       | Hitachi Ltd  |        |            |  |  |
| If you wis            | h to ac    | dd additional Foreign P  | atent Document   | citation | information pl   | ease click the Add buttor                              | n Add  | •          |  |  |
|                       |            |                          | NON-PATE   | NT LITE  | RATURE DO        | CUMENTS  | Remove |            |  |  |
| Examiner<br>Initials* | Cite<br>No |                          | nal, serial, symp  | osium,   | catalog, etc), c | the article (when appropo<br>date, pages(s), volume-is |        | <b>T</b> 5 |  |  |
|                       | 1          | http://www.semiconducto  | http://www.semiconductors.phillips.com/news/content/file_878.html, April 7, 2003 |          |                  |  |        |            |  |  |
|                       | 2          | http://www.palowireless. | com/infotooth/wha  | tis.asp, | April 28, 2003   |  |        |            |  |  |

| Application Number     |       | 10708840     |  |  |  |
|------------------------|-------|--------------|--|--|--|
| Filing Date            |       | 2004-03-26   |  |  |  |
| First Named Inventor   | Blayn | Blayn Beenau |  |  |  |
| Art Unit               |       | 3621         |  |  |  |
| Examiner Name          | Kamb  | Kambiz Abdi  |  |  |  |
| Attorney Docket Number |       | 70655.0400   |  |  |  |

| 3  | http://www.palowireless.com/infotooth/tutorial.asp, April 28, 2003          |  |
|----|---|--|
| 4  | http://www.palowireless.com/infotooth/tutorial/profiles.asp, April 28, 2003 |  |
| 5  | http://www.palowireless.com/infotooth/tutorial/radio.asp, April 28, 2003    |  |
| 6  | http://www.polowireless.com/infotooth/tutorial/baseband.asp, April 28, 2003 |  |
| 7  | http://www.palowireless.com/infotooth/tutorial/lmp.asp, April 28, 2003      |  |
| 8  | http://www.palowireless.com/infotooth/tutorial/hci.asp, April 28, 2003      |  |
| 9  | http://www.palowireless.com/infotooth/tutorial/12cap.asp, April 28, 2003    |  |
| 10 | http://www.palowireless.com/infotooth/tutorial/rfcomm.asp, April 28, 2003   |  |
| 11 | http://www.palowireless.com/infotooth/tutorial/sdp.asp, April 28, 2003      |  |
| 12 | http://www.palowireless.com/infotooth/tutorialk1_gap.asp, April 28, 2003    |  |
| 13 | "Sony, Phillips to Test RFID Platform", RFID Journal, 05/08/2003            |  |

| Application Number     |       | 10708840   |  |  |
|------------------------|-------|------------|--|--|
| Filing Date            |       | 2004-03-26 |  |  |
| First Named Inventor   | Blayn | Beenau     |  |  |
| Art Unit               |       | 3621       |  |  |
| Examiner Name          | Kamb  | iz Abdi    |  |  |
| Attorney Docket Number |       | 70655.0400 |  |  |

|  | 14  | "Sony  | "Sony, Phillips to Test RFID Platform", RFID Journal, 05/08/2003                                    |                       |            |  |  |  |
|--|---|--------|---|-----------------------|------------|--|--|--|
|  | 15 USBanker, Article 5, 1995, http://www.banking.com/us-banker/art5 |        |   |                       |            |  |  |  |
|  | 16  | ı      | "Smart Card Technology and Applications"; http://disc.cba.uh.edu/~rhirsch/fall96/lara.htm (8 pages) |                       |            |  |  |  |
| If you wis   | h to ac   | dd add | ditional non-patent literature document citation information p                                      | lease click the Add k | outton Add |  |  |  |
|  |   |        | EXAMINER SIGNATURE  |                       |            |  |  |  |
| Examiner   | Signa   | ture   |   | Date Considered       |            |  |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  |   |        |   |                       |            |  |  |  |
| <sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. |   |        |   |                       |            |  |  |  |

( Not for submission under 37 CFR 1.99)

| Application Number     |              | 10708840   |  |
|------------------------|--------------|------------|--|
| Filing Date            |              | 2004-03-26 |  |
| First Named Inventor   | Blayn Beenau |            |  |
| Art Unit               |              | 3621       |  |
| Examiner Name          | Kambiz Abdi  |            |  |
| Attorney Docket Number |              | 70655.0400 |  |

| Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):  |   |  |                              |                                 |  |  |  |
|--|---|--|------------------------------|---------------------------------|--|--|--|
|  | That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).   |  |                              |                                 |  |  |  |
| OR   |   |  |                              |                                 |  |  |  |
|  | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2). |  |                              |                                 |  |  |  |
| ×  | See attached certification statement.   |  |                              |                                 |  |  |  |
|  |   |  |                              |                                 |  |  |  |
|  | None  |  |                              |                                 |  |  |  |
| SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature. |   |  |                              |                                 |  |  |  |
| Signature  |   | /Howard I Sobelman/  | Date (YYYY-MM-DD)            | 2007-03-13                      |  |  |  |
| Name/Print   |   | Howard I Sobelman  | Registration Number          | 39038                           |  |  |  |
| pub  | lic which is to file  | rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete inclu | on. Confidentiality is gover | ned by 35 U.S.C. 122 and 37 CFR |  |  |  |

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

**CERTIFICATION STATEMENT** 

VA 22313-1450.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.